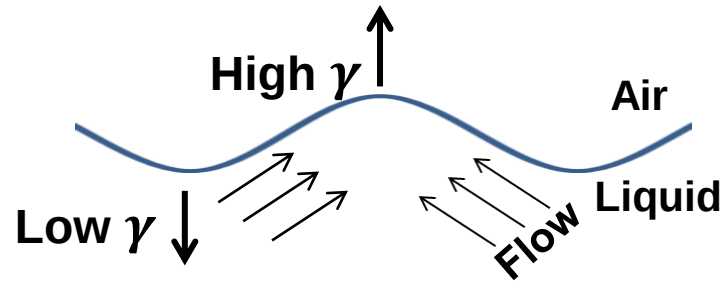


Surface Tension Gradients Can Drive Flow, ...

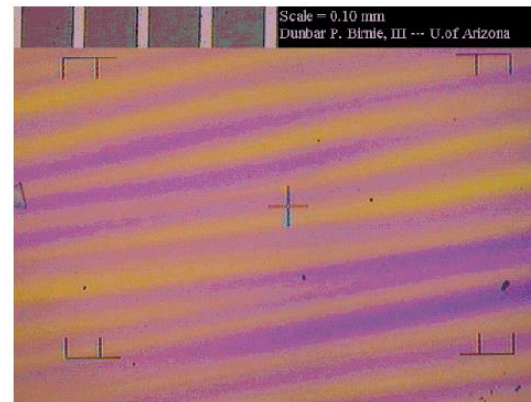


- Surface tension gradients, thermally or chemically induced, drive convection which manifests itself in various phenomena

'Tears' of Wine

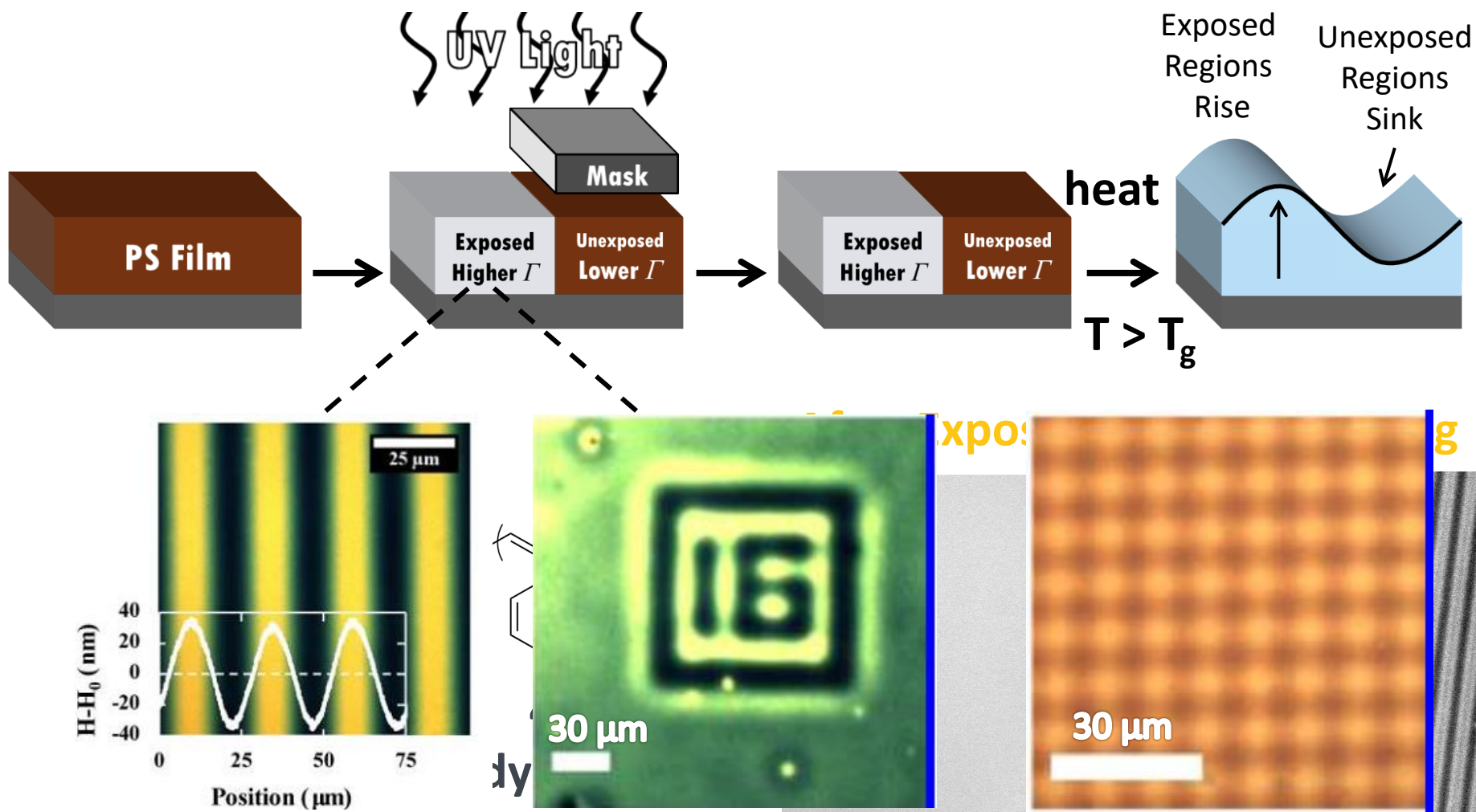


Striation Defects in Spin Coating



http://en.wikipedia.org/wiki/File:Wine_legs_shadow.jpg || Birnie, J. Mat. Res. 2011

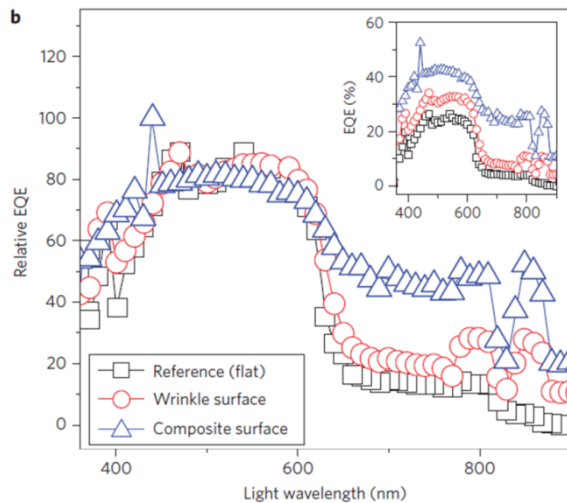
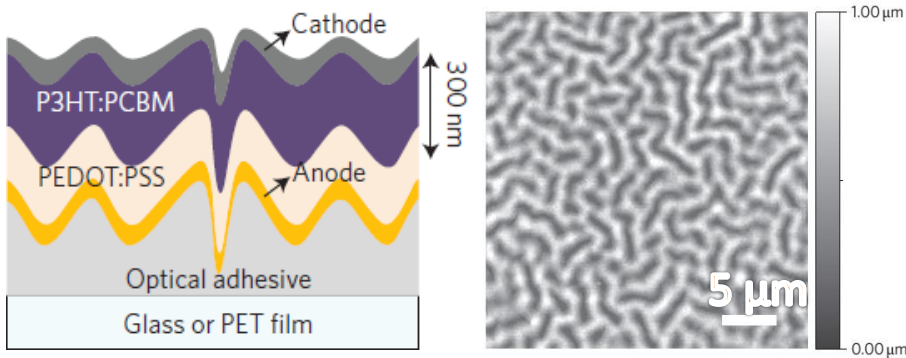
... We Can Make a Patterning Process Out of It & ...



- Katzenstein *et al*, ACS Macro Lett 2012

... Such a Process Has Several Applications & Advantages

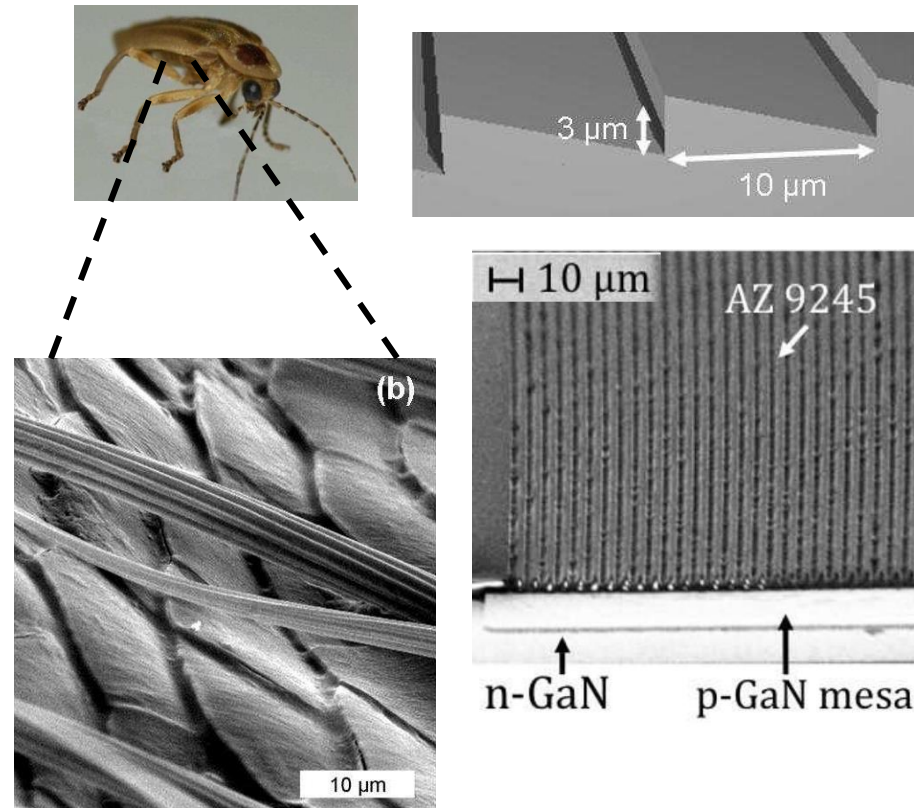
'Wrinkled' Solar Cells



47% increase in photocurrent

• Kim *et al.*, Nature Photonics 2012.

Firefly-Inspired LEDs



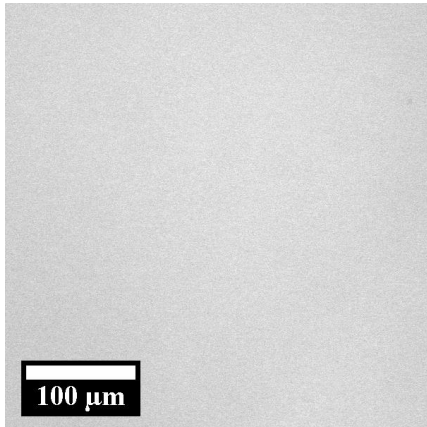
55% increase in emitted power

• Bay *et al.*, Optics Express 2013.

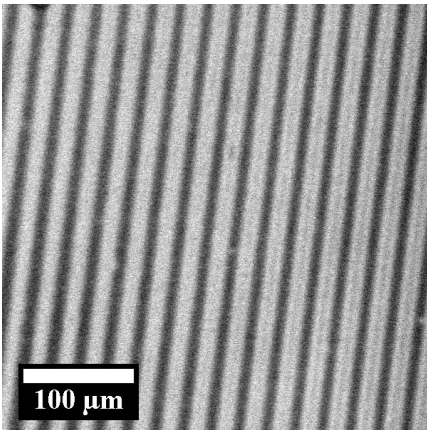
A Closer Look at the Evolution of Topography

- When heated $> T_g$, features rise, then decay

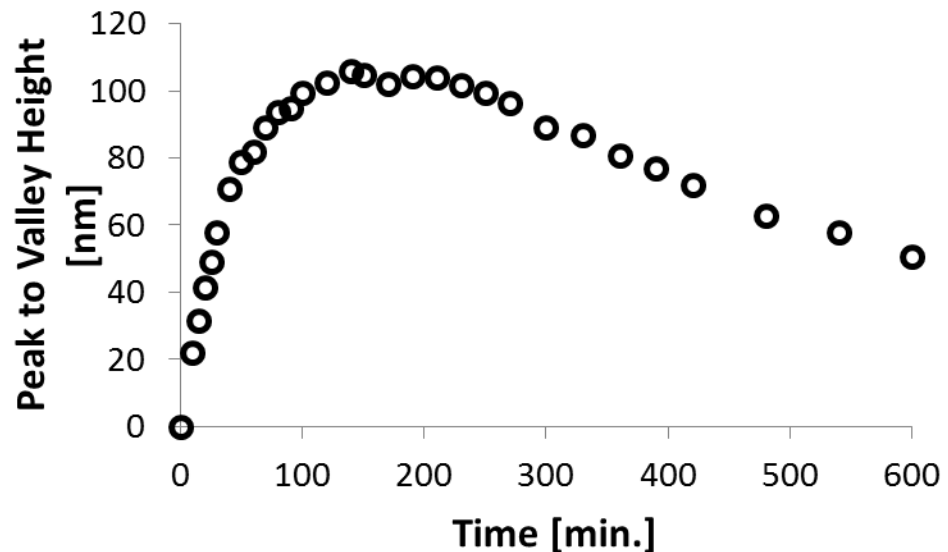
After Exposure



After Heating



Peak-to-Valley Height v.s. Heating Time

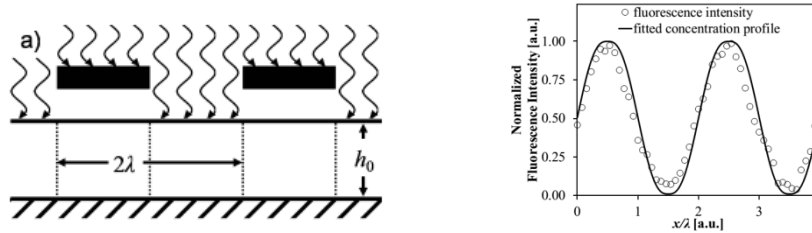


Linewidth: 12.5 μm Film Thickness: 145 nm
Temperature: 120°C

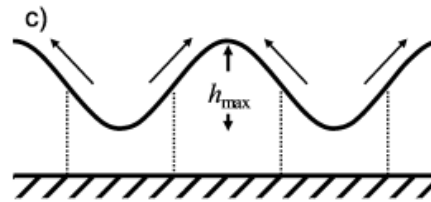
• Katzenstein *et al*, *ACS Macro Lett* 2012

The Physics Behind the Evolution of Topography

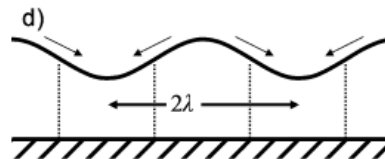
1. Exposure generates a different species under the mask gaps



2. On heating, **Marangoni forces pull fluid to the exposed regions**; **capillary forces oppose** this flow



3. With time, the **Marangoni driving force decays** ($\because$ diffusion dissipates the initial concentration gradient) while the **opposing capillary force grows** ($\because$ features grow). Eventually features decay



These dynamics, and hence feature height & timescale, are affected by **geometry** (h_0, λ), **material properties** (μ, D, γ) and **experimental parameters** (E, T).

Modeling the Evolution of Topography

- The **thin film equation** describes the evolution of local film height

$$\frac{\partial h}{\partial t} + \frac{\partial}{\partial x} \left[\left(\frac{1}{3A} \right) h^3 \frac{\partial}{\partial x} \left\{ \gamma \frac{\partial^2 h}{\partial x^2} \right\} + \left(\frac{1}{2} \right) h^2 \frac{\partial \gamma}{\partial x} \right] = 0 \quad A \equiv \lambda^2 / h_0^2$$

Film height

Capillary Dissipation

Marangoni forcing

- The **convection-diffusion equation** tracks the local concentration of the photo-generated species.

$$Pe \equiv h_0 \gamma_0 / \mu D$$

$$\frac{\partial c}{\partial t} - \left(\frac{1}{Pe} \right) \frac{\partial^2 c}{\partial x^2} + \frac{\partial}{\partial x} \left[c \left\{ \left(\frac{1}{2A} \right) h^2 \frac{\partial}{\partial x} \left(\gamma \frac{\partial^2 h}{\partial x^2} \right) + h \frac{\partial \gamma}{\partial x} \right\} \right] = 0$$

Concentration

Diffusion

Capillary Flux

Marangoni Flux

- Model Simplifications:**

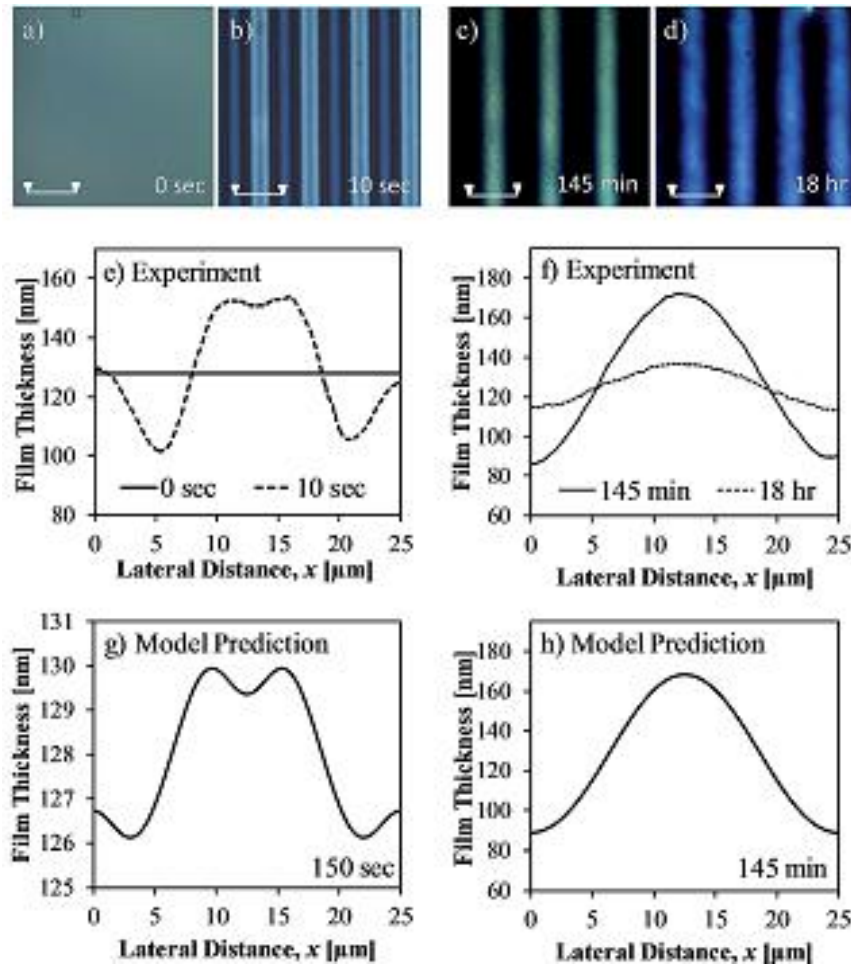
- Thin film (i.e. film is vertically homogeneous in composition & temperature)
- van der Waals & gravitational forces neglected
- Surface tension assumed to be linearly related to concentration:

$$(\Delta \gamma) c$$

$$\gamma = 1 + (\Delta \gamma) c$$

Simulating the Evolution of Topography

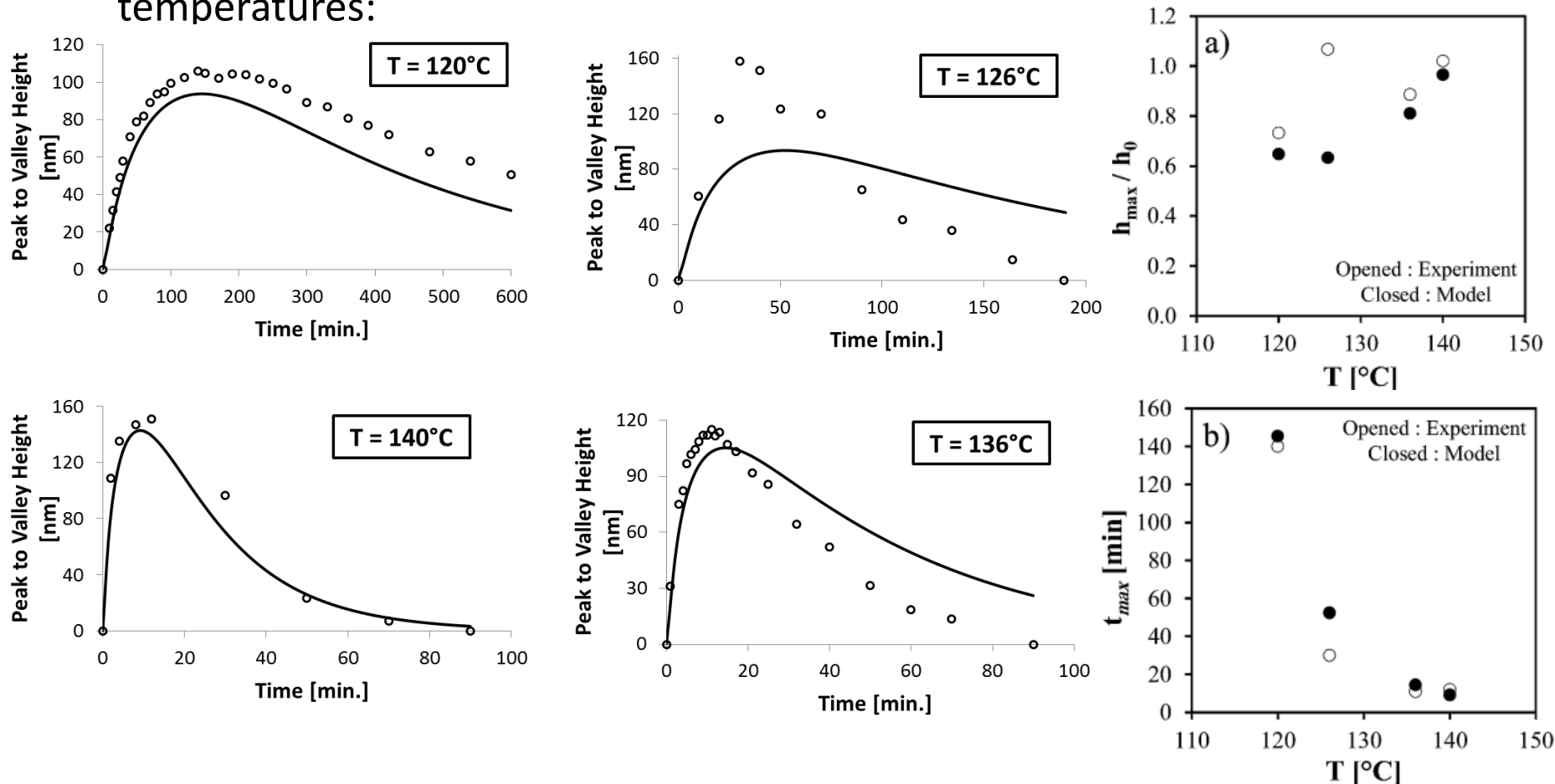
- Experimental observations v.s. Model Predictions at short and long times:



Linewidth: 12.5 μm , Film Thickness: 145 nm

Simulating the Evolution of Topography

- Experimental observations v.s. Model Predictions at four different temperatures:



Linewidth: 12.5 μm , Film Thickness (in order of increasing T): 145, 148, 130, 148 nm

Predicting Topography Evolution & Targeting Specific Structures Efficiently

- An **approximate analytical solution** was obtained by neglecting second (or higher) order effects

$$c = \frac{1}{2} + \xi(x, t) = \frac{1}{2} + \hat{\xi}(t) \frac{\cos(\pi x)}{2}$$

$$h = 1 + \eta(x, t) = 1 + \hat{\eta}(t) \frac{\cos(\pi x)}{2}$$

- Maximum peak-to-valley height** attained:

$$\hat{\eta}_{max} = \frac{\pi^2 \frac{\Delta\gamma c_0}{2}}{\psi_1 - \psi_2} \left\{ \exp \left[\frac{\psi_1}{\psi_1 - \psi_2} \ln \left(\frac{\psi_2}{\psi_1} \right) \right] - \exp \left[\frac{\psi_2}{\psi_1 - \psi_2} \ln \left(\frac{\psi_2}{\psi_1} \right) \right] \right\}$$

- Heating time** to attain maximum height:

$$t(\hat{\eta}_{max}) = \frac{\ln \left(\frac{\psi_2}{\psi_1} \right)}{\psi_1 - \psi_2}$$

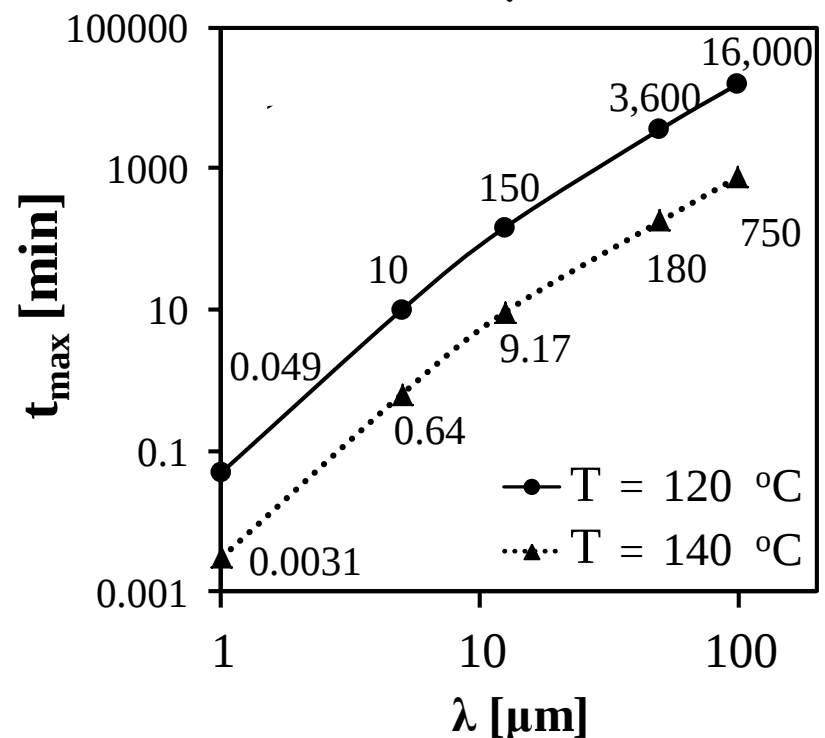
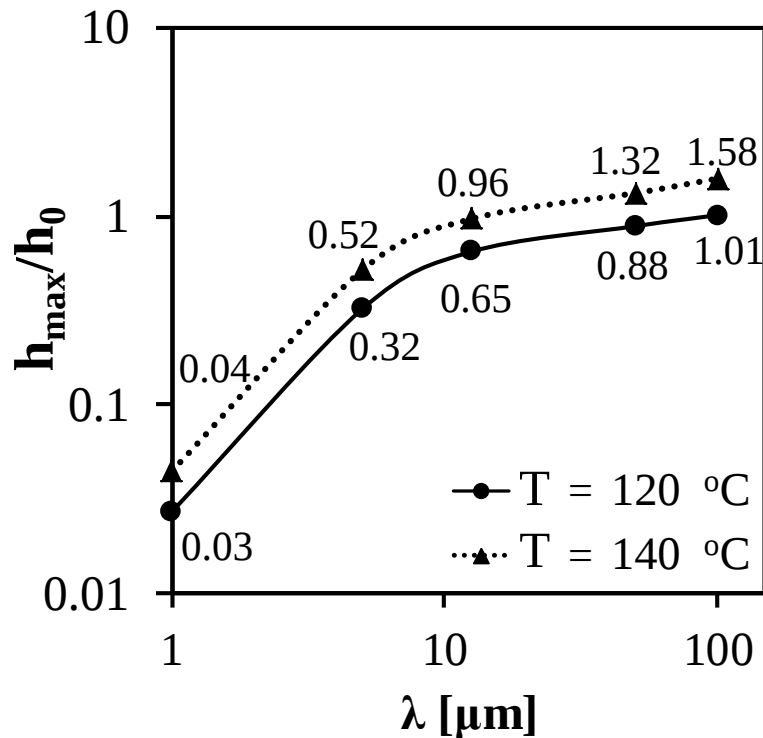
$$\psi_1, \psi_2 = \frac{\alpha \pm \sqrt{\alpha^2 - \beta}}{2}$$

$$\alpha = \left(1 - \frac{\pi^2}{3A} \right) \pi^2 \frac{\Delta\gamma c_0}{2} - \pi^2 \left(\frac{1}{Pe} + \frac{\pi^2}{3A} \right)$$

$$\beta = \frac{\pi^6}{3A} \left(\frac{4}{Pe} - \frac{\Delta\gamma c_0}{2} \right) \left(1 + \frac{\Delta\gamma c_0}{2} \right)$$

The Analytical Solution Yields Important Insight

- Increased resolution has a penalty in terms of the achievable feature height



- A 20°C increase in T increases feature size by $\sim 60\%$ while reducing annealing time by over an order of mag.

Simulating 2D Patterns

- Thin-film equation for film topography:

$$\frac{\partial h}{\partial t} + \nabla \cdot \left[\left(\frac{1}{3A} \right) h^3 \nabla \{ \gamma \nabla^2 h \} + \left(\frac{1}{2} \right) h^2 \nabla \gamma \right] = 0$$

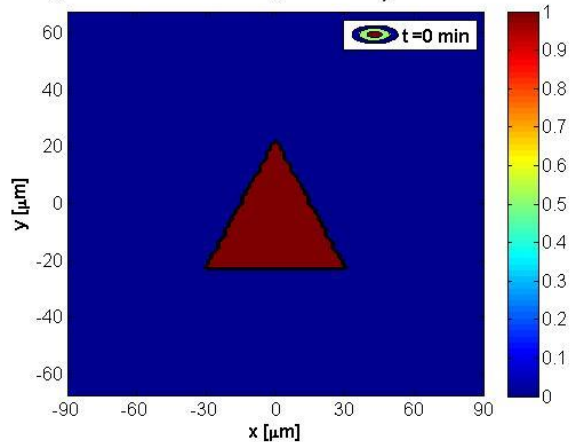
- Convection-diffusion equation for concentration profile:

$$\frac{\partial c}{\partial t} - \left(\frac{1}{Pe} \right) \nabla^2 c + \nabla \left[c \left\{ \left(\frac{1}{2A} \right) h^2 \nabla (\gamma \nabla^2 h) + h \nabla \gamma \right\} \right] = 0$$

Simulating 2D Patterns

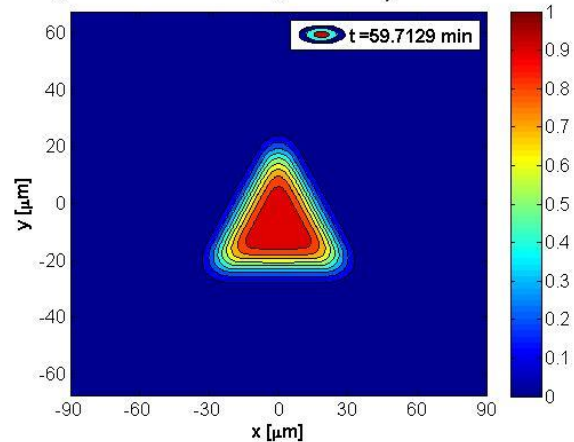
t = 0 min.

$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$



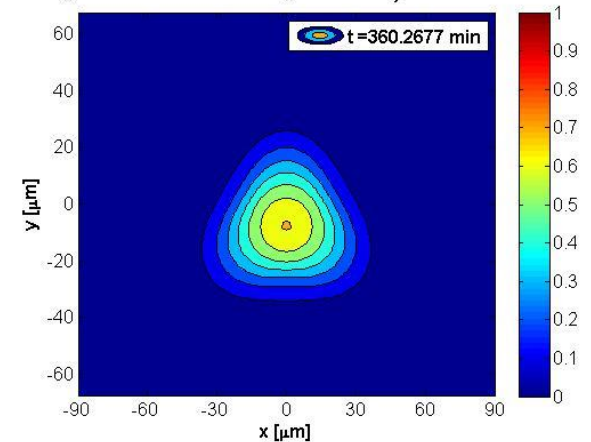
t = 1 hr.

$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$

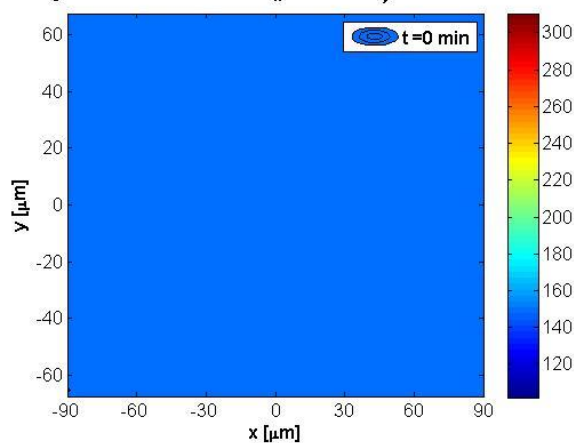


t = 6 hrs.

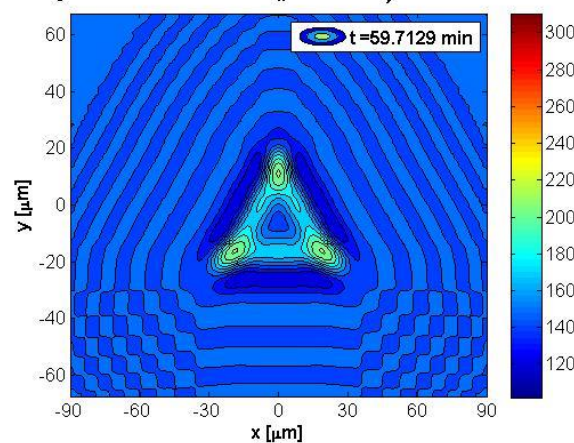
$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$



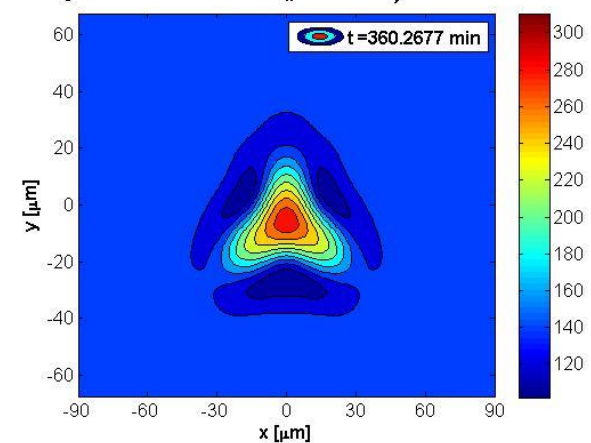
$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$



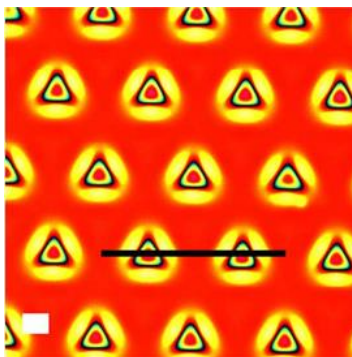
$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$



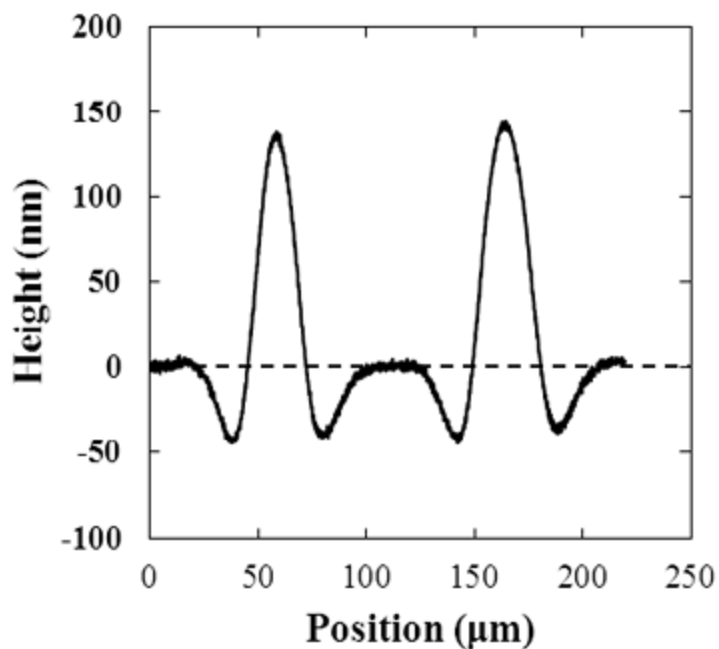
$h_0 = 150 \text{ nm} \parallel T = 120^\circ\text{C} \parallel L_x = 60 \mu\text{m} \parallel L_y = 51.9615 \mu\text{m}$



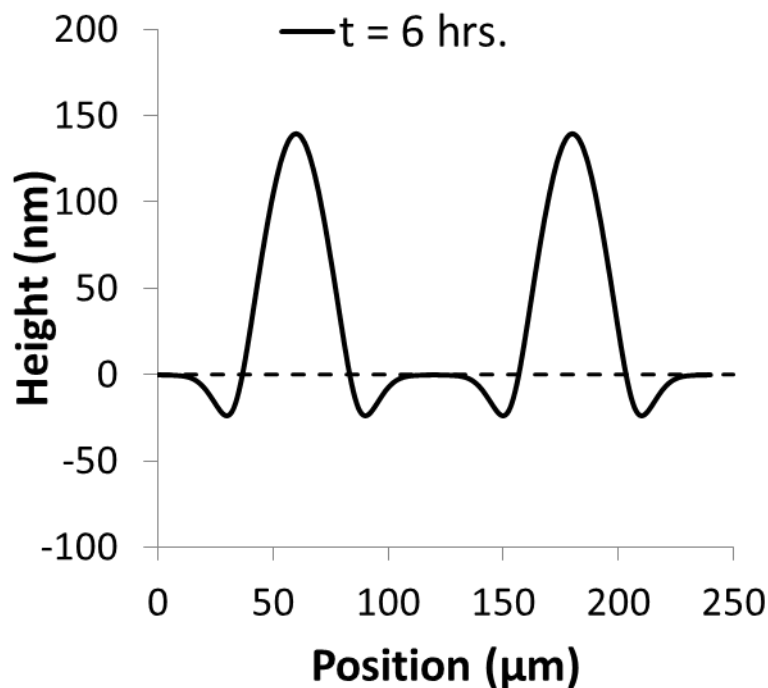
Comparison with Experiment



Experiment



Simulation



- Katzenstein *et al*, *ACS Macro Lett* 2012

Conclusions

Surface Tension Driven Patterning

- Film dynamics are driven by Marangoni and capillary flows as well as diffusion of the photo-generated species.
- Increased resolution incurs a cost in the height of the resulting features.
- The largest features result for large values of $Pe \equiv h_0\gamma_0/\mu D$ (as well as the surface tension differential).